

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mukherjee, et al.

Application No.: 10/615,812

Group No.: 1775

Filed: July 8, 2003

Examiner: Not Yet Assigned

For: LOW DIELECTRIC MATERIALS AND METHODS OF PRODUCING SAME

Mail Stop Missing Parts Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### PETITON UNDER 37 C.F.R. 1.47

This petition is being filed in Response to the Notice to File Missing Parts dated October 15, 2003. Enclosed is a copy of the Notice to File Missing Parts of Nonprovisional Application for signature of inventor Shyama Mukherjee missing from oath or declaration.

Enclosed is a copy of the letter dated February 18, 2004 we sent to inventor Shyama Mukherjee enclosing a copy of the application for review and the declaration/power of attorney for signature. Inventor Shyama Mukherjee is no longer employed by Honeywell and is refusing to sign the oath or declaration.

The above-referenced application is a divisional of USSN 10/189,318. Enclosed is a copy of the declaration/power of attorney filed and accepted on July 3, 2002 in the priority application USSN 10/189,318. This declaration/power of attorney lists the undersigned, Sandra L. Poteat (Thompson) Registration No. 46264, as having power of attorney.

Also enclosed is a copy of the declaration/power of attorney filed and accepted on August 23, 2000 in the parent application USSN 09/587,851. This declaration/power of attorney lists the undersigned, Sandra L. Poteat (Thompson) Registration No. 46264, as having power of attorney.

Both declarations/powers of attorney comply with 37 CFR 1.63.

03/19/2004 LWONDIM1 00000034 502518 10615812-

)3 FC;1253 950700 DAV

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

[]

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

X

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: March 15, 2004

FACSIMILE

transmitted by facsimile to the Patent and

Trademark Office at (703) \_\_\_\_ -

Kristin J. Azcona

Petition Under 37 CFR 1.47--page 1 of

33/19/2004 LWONDIM1 00000034 10615812

2 FC:1460

(surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

Date: March 15, 2004

Sandra P. Thompson Bingham McCutchen

600 Anton Blvd., 18<sup>th</sup> Floor

Costa Mesa, CA 92626

**USA** 





February 18, 2004

# VIA U.S. EXPRESS MAIL

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Plaza Tower
18th Floor
600 Anton Boulevard
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92626-1924

714.433.2900 714.549.3244 fax

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Silicon Valley
Tokyo
Walnut Creek
Washington

Shyama Mukherjee 2470 Pinto Court Morgan Hill, CA 95037

RE: Application Serial No. 10/615812

Low Dielectric Constant Nano Composites Honeywell Ref: 30-4915 DIV2 (4780) Our Ref: 7210115002-3221000

## Dear Shyama:

Enclosed for your review and signature is a copy of the Application, Declaration/Power of Attorney, Assignment and Taiwanese Oath/Assignment for the above-referenced matter. Please sign the documents in **blue ink only**, and return the signed originals back to me in the enclosed self-addressed stamped envelope for immediate filing.

If you should have any questions, please feel free to contact this office.

Kristin I Azcona

Paralegal

Sincerely.

**Enclosures** 



HW Docket No.: 30-4915 DIV2 (4780)

## DECLARATION FOR PATENT APPLICATION **SOLE OR JOINT**

As a below	named	inventor,	I here	by (	tecl	are	tha	t
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My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention titled:

### Low Dielectric Constant Nano Composites

the specification of which is attached hereto unless the following box is checked.

was filed on July 8, 2003 as United States Application Number or PCT International Application	
--	--

Number 10/615,812 and was amended on\_\_\_\_\_ \_\_\_(If Applicable). I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS.

I ACKNOWLEDGE THE DUTY TO DISCLOSE INFORMATION THAT IS MATERIAL TO THE PATENTABILITY OF THIS APPLICATION IN ACCORDANCE WITH TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below application o

## Prior Foreig

		atent or inventor's certificate having a filing	g date before that o	f the
ign Application(s)			Priority C	laimed
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below and, INSOFAR AS THE SUBJECT MATTER OF EACH OF THE CLAIMS OF THIS APPLICATION IS NOT DISCLOSED IN THE PRIOR UNITED STATES APPLICATION IN THE MANNER PROVIDED BY THE FIRST PARAGRAPH OF TITLE 35, UNITED STATES CODE, §112, I ACKNOWLEDGE THE DUTY TO DISCLOSE MATERIAL INFORMATION AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a) WHICH OCCURRED BETWEEN THE FILING DATE OF THE PRIOR APPLICATION AND THE NATIONAL OR PCT INTERNATIONAL FILING DATE OF THIS APPLICATION:

10/189318 July 3, 2002	Patented
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(STATUS: Patented, Pending, Abandoned) (Application Serial Number) (Filing Date) June 6, 2000 Patented

09/587851 (Filing Date) (STATUS: Patented, Pending, Abandoned) (Application Serial Number)

March 13, 2003 Pending 10/388695 (Filing Date) (STATUS: Patented, Pending, Abandoned) (Application Serial Number)

SEND CORRESPONDENCE TO:

Sandra P. Thompson Bingham McCutchen

600 Anton Blvd., 18th Floor Costa Mesa, CA 92626

DIRECT TELEPHONE CALLS TO: Sandra P. Thompson (714) 830-0622

DECLARATION FOR PATENT APPLICATION—SOLE OR JOINT (Cont'd.)	HW Docket No.: <u>30-4915 DIV2 (478</u> Page
I hereby declare that all statements made herein of my own knowledge are true and tha are believed to be true; and further that these statements were made with the knowledge that will punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States jeopardize the validity of the application or any patent issuing thereon.	ful false statements and the like so made are
FULL NAME OF SOLE OR FIRST INVENTOR Shyama MUKHERJEE	
INVENTOR'S SIGNATURE	Date
RESIDENCE Morgan Hill, CA	
CITIZENSHIP USA	
POST OFFICE ADDRESS Honeywell International Inc.	
PO Box 2245	
101 Columbia Road	
Morristown, NJ 07962	
FULL NAME OF SECOND JOINT INVENTOR ROBER LEUNG	
INVENTOR'S SIGNATURE	Date 1/20/04
RESIDENCE San Jose, CA	
CITIZENSHIP USA	
POST OFFICE ADDRESS Honeywell International Inc.	
PO Box 2245	
101 Columbia Road	
Morristown, NJ 07962	
FULL NAME OF THIRD JOINT INVENTOR Kreisler LAU	
INVENTOR'S SIGNATURE	Date
RESIDENCE Sunnyvale, CA	
CITIZENSHIP USA	
POST OFFICE ADDRESS Honeywell International Inc.	

Honeywell International Inc. PO Box 2245

101 Columbia Road Morristown, NJ 07962

DECLARATION FOR PATENT APPLICATION—SOLE OR JOINT (Cont'd.)	HW Docket No.: 30-4915 DIV2 (478
	Page
I hereby declare that all statements made herein of my own knowledge are true and that all statements were believed to be true; and further that these statements were made with the knowledge that willful false state punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and jeopardize the validity of the application or any patent issuing thereon.	tements and the like so made are
FULL NAME OF SOLE OR FIRST INVENTOR Shyama MUKHERJEE	
INVENTOR'S SIGNATURE	Date
RESIDENCE Morgan Hill, CA	
CITIZENSHIP USA	
POST OFFICE ADDRESS Honeywell International Inc.	
PO Box 2245	
101 Columbia Road	
Morristown, NJ 07962	
FULL NAME OF SECOND JOINT INVENTOR Roger LEUNG	
INVENTOR'S SIGNATURE	Date
RESIDENCE San Jose, CA	
CITIZENSHIP USA	
POST OFFICE ADDRESS Honeywell International Inc.	
PO Box 2245	
101 Columbia Road	
Morristown, NJ 07962	
FULL NAME OF THIRD JOINT INVENTOR Kreisler LAU	1 1 1
INVENTOR'S SIGNATURE	Date 1/12/04
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CITIZENSHIP USA	
POST OFFICE ADDRESS Honeywell International Inc.	

Honeywell International Inc. PO Box 2245

101 Columbia Road Morristown, NJ 07962

HW Docket No. 30-4915 DIV2 (4780)

Page 3

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith (List name and registration number).

(LIST SENIOR PATENT COUNSEL AND ATTORNEY HANDLING CASE WITH PATENT OFFICE REGISTRATION NUMBERS.)

Melanie Brown	Shannon Morris	Curt Brueske
Name	Name	Name
31,592	42,909	33,839
Registration Number	Registration Number	Registration Number
Shannon Votava	Roger H. Criss	Sandra P. Thompson
Name	Name	Name
48,272	25,570	46,264
Registration Number	Registration Number	Registration Number
Steven J. Kirschner		
Name	Name	Name
35,573		
Registration Number	Registration Number	Registration Number
Name	Name	Name
Registration Number	Registration Number	Registration Number
Name	Name	Name
Registration Number	Registration Number	Registration Number
Name	Name	Name
Registration Number	Registration Number	Registration Number
Name	Name	Name
Name	Name	Name
Registration Number	Registration Number	Registration Number
Name	Name	Name
Registration Number	Registration Number	Registration Number
Name	Name	Name
Registration Number	Registration Number	Registration Number



**PATENT** 

## COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

### TYPE OF DECLARATION

This declaration is for an original application.

## INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am an original, first and joint inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

### TITLE OF INVENTION

# LOW DIELECTRIC MATERIALS AND METHODS OF PRODUCING SAME

## SPECIFICATION IDENTIFICATION

The specification is attached hereto.

## ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56, and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent.



### **POWER OF ATTORNEY**

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

APPOINTED PRACTITIONER(S)	REGISTRATION NUMBER(S)
Robert D. Fish	33880
David J. Zoetewey	45258
Sandra L. Poteat	46264
Martin Fessenmaier	P-46697
Roger H. Criss	25570
John Donofrio	32339
Mary Ann Lemere	32160
Shannon Morris	42909
Curt Brueske	33839

I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

### SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:

Mary Ann Lemere Honeywell International Inc. 101 Columbia Road PO Box 2245 Morristown, NJ 07962-2245 USA

Mary Ann Lemere (973) 455-7020

## **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(Declaration and Power of Attorney--page 2 of 3)

# SIGNATURE(S)



Shyama Mukherjee

Inventor's signature

Date 7/13/2000

Country of Citizenship USA

Residence 920 Popular Avenue, Santa Clara, CA

Post Office Address

Honeywell International Inc.

101 Columbia Road

PO Box 2245

Morristown, NJ 07962-1057 USA

----

Roger Leung

Inventor's signature

Country of Citizenship USA

Residence 1351 Rimrock Drive, San Jose, CA 95120

**Post Office Address** 

Honeywell International Inc.

101 Columbia Road

PO Box 2245

Morristown, NJ 07962-1057 USA

Kreisler Lau

Inventor's signature

Date 6-30-2000

Country of Citizenship USA

Residence 871 Rubis Drive, Sunnyvale, CA 94087

**Post Office Address** 

Honeywell International Inc.

101 Columbia Road

PO Box 2245

Morristown, NJ 07962-1057 USA



**PATENT** 

### COMBINED DECLARATION AND POWER OF ATTORNEY

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As a below named inventor, I hereby declare that:

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(Declaration and Power of Attorney--page 2 of 3)

# SIGNATURE(S)

Shyama Mukherjee

Inventor's signature

Date 7/13/2007

Country of Citizenship USA

Residence 920 Popular Avenue, Santa Clara, CA Post Office Address

Honeywell International Inc.

101 Columbia Road

PO Box 2245

Morristown, NJ 07962-1057 USA

Roger Leung

Inventor's signature

Date \_\_\_

Country of Citizenship USA

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Kreisler Lau

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Date 6-30-2000

Country of Citizenship USA

Residence 871 Rubis Drive, Sunnyvale, CA 94087

Post Office Address

Honeywell International Inc.

101 Columbia Road

PO Box 2245

Morristown, NJ 07962-1057 USA

## **DECLARATION OR OATH**

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

### **COMPLETION FEES**

III.

1. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))

\$130.00

**Total Completion Fees** 

\$130.00

#### EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that a three month extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### TOTAL FEE DUE

V. The total fee due is:

Completion fees \$130.00 Extension fee (if any) \$950.00

Total Fee Due \$1080.00

## **PAYMENT OF FEES**

VI. Please charge Account No. 502518 for any fees that may be due by this paper.

### **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 502518.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e)

(Completion of Filing Requirements--Nonprovisional Application--page 2 of 7)